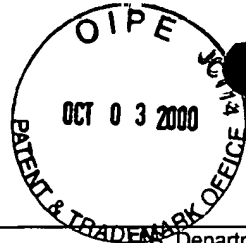


#3



SECTOR 1B  
+

PTO/SB/21 (modified)  
Approved for use through xx/xx/xx, OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0001/PTO Rev. 10/95  <b>TRANSMITTAL FORM</b>  <i>(to be used for all correspondence during pendency of filed application)</i>	U.S. Department of Commerce Patent and Trademark Office	Application Number	09/580,932
		Filing Date	May 25, 2000
		First Named Inventor	Pierre H. Chênes
		Group Art Unit Number	2775
		Examiner Name	Unknown
Total Number of Pages in This Submission	9	Attorney Docket Number	19414-04841

ENCLOSURES (check all that apply)	
<input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate) <input checked="" type="checkbox"/> Check Enclosed	<input type="checkbox"/> Issue Fee Transmittal
<input checked="" type="checkbox"/> Return Receipt Postcard	<input type="checkbox"/> Letter to Chief Draftsperson
<input checked="" type="checkbox"/> Response to Notice to File Missing Parts	<input type="checkbox"/> Formal Drawing(s): [ ] Sheet(s) of Figure(s) [ ]
<input type="checkbox"/> Assignment & Recordation Cover Sheet	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Declaration	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Small Entity Statement	<input type="checkbox"/> Certified Copy of Priority Document(s)
<input type="checkbox"/> Information Disclosure Statement & PTO-1449 <input type="checkbox"/> Copies of IDS Cited References	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Request for Corrected Filing Receipt	<input type="checkbox"/>
<input type="checkbox"/> Request for Correction of Recorded Assignment	<input type="checkbox"/>
<input type="checkbox"/> Amendment/Response: [ ] Page(s) <input type="checkbox"/> After Final	<input type="checkbox"/>
<input type="checkbox"/> Status Request	<input type="checkbox"/>
<input type="checkbox"/> Revocation and Power of Attorney	<input type="checkbox"/>
<b>REMARKS:</b>	

SIGNATURE OF ATTORNEY OR AGENT			
Signature:			
Attorney/Reg. No.:	Neil F. Maloney, Reg. No. 42,833	Dated:	September 29, 2000

CERTIFICATE OF MAILING			
I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, DC 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 39 CFR 1.10.			
Signature:			
Typed or Printed Name:	Neil F. Maloney	Dated:	September 29, 2000
Express Mail Mailing Number (optional):			

U.S. PATENT & TRADEMARK OFFICE  
OCT 03 2000

Approved for use through 11/30/96, OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

U.S. Department of Commerce  
Patent and Trademark Office

**TOTAL AMOUNT OF PAYMENT**

Subtotal (1) + Subtotal (2) + Subtotal (3) = **(\$)** 1.288.00

**Complete if Known**

Application Number	09/580,932
Filing Date	May 25, 2000
First Named Inventor	Pierre H. Chénès
Group Art Unit	2775
Examiner Name	Unknown
Attorney Docket Number	19414-04841

## METHOD OF PAYMENT

☐ Charge the indicated fees to the below mentioned deposit account.

☒ Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account. <sup>1</sup>

☐ Charge the Issue Fee set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b) to the below mentioned deposit account.

**Deposit Account Name: FENWICK & WEST LLP**

**A Duplicate Copy of this authorization is attached**

☒ Check    ☐ Other**FEE CALCULATION** (fees effective 11/12/98)

## 1. FILING FEE

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee</u>	<u>Fee</u>
Fee	Fee	Description	Due
Code/Fee	Code/Fee		
101/ <b>\$690</b>	201/ <b>\$345</b>	Utility Filing	<input type="text"/>
106/ <b>\$310</b>	206/ <b>\$155</b>	Design Filing	<input type="text"/>
108/ <b>\$690</b>	208/ <b>\$345</b>	Reissue	<input type="text"/>
114/ <b>\$150</b>	214/ <b>\$75</b>	Provisional Filing	<input type="text"/>

<b>SUBTOTAL (1)</b>	<b>(\$)</b> 0
---------------------	---------------

## 2. CLAIMS

<u>Large Entity</u> Fee Code/Fee	<u>Small Entity</u> Fee Code/Fee	Fee Description
103/ <b>\$18</b>	203/ <b>\$9</b>	Claims in excess of 20
102/ <b>\$78</b>	202/ <b>\$39</b>	Independent claims in excess of 3
104/ <b>\$260</b>	204/ <b>\$130</b>	Multiple dependent claim
109/ <b>\$78</b>	209/ <b>\$39</b>	Reissue independent claims over original patent
110/ <b>\$18</b>	210/ <b>\$9</b>	Reissue claims in excess of 20 and over original patent

### 3. ADDITIONAL FEES

<u>Large Entity</u>	<u>Small Entity</u>		
Fee Code/Fee	Fee Code/Fee	Fee Description	Fee Due
105/ <b>\$130</b>	205/ <b>\$65</b>	Surcharge - late filing fee or oath	<b>130</b>
127/ <b>\$50</b>	227/ <b>\$25</b>	Surcharge-late provisional filing fee or cover sheet	
147/ <b>\$2,520</b>	147/ <b>\$2,520</b>	For filing a request for reexamination	
115/ <b>\$110</b>	215/ <b>\$55</b>	Extension for response within first month <sup>†</sup>	
116/ <b>\$380</b>	216/ <b>\$190</b>	Extension for response within second month <sup>†</sup>	
117/ <b>\$870</b>	217/ <b>\$435</b>	Extension for response within third month <sup>†</sup>	
118/ <b>\$1,360</b>	218/ <b>\$680</b>	Extension for response within fourth month <sup>†</sup>	
128/ <b>\$1,850</b>	228/ <b>\$925</b>	Extension for response within fifth month <sup>†</sup>	
119/ <b>\$300</b>	219/ <b>\$150</b>	Notice of Appeal	
141/ <b>\$1,210</b>	241/ <b>\$605</b>	Petition to revive unintentionally abandoned application	
142/ <b>\$1,210</b>	242/ <b>\$605</b>	Utility Issue Fee (Or Reissue)	
143/ <b>\$430</b>	243/ <b>\$215</b>	Design Issue Fee	
122/ <b>\$130</b>	122/ <b>\$130</b>	Petitions to the Commissioner	
123/ <b>\$50</b>	123/ <b>\$50</b>	Petitions related to provisional applications	
126/ <b>\$240</b>	126/ <b>\$240</b>	Submission of Information Disclosure Statement	
581/ <b>\$40</b>	581/ <b>\$40</b>	Recording each patent assignment per property (times number of properties)	
146/ <b>\$690</b>	246/ <b>\$345</b>	Filing a submission after final rejection (37 CFR 1.129(a))	
149/ <b>\$690</b>	249/ <b>\$345</b>	For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (specify):

Other fee (specify):

**SUBTOTAL (3)** **(\$)** 130

(Col. 1)		(Col. 2)		(Col. 3)			
For	No. of Existing Claims		Highest No. Previously Paid For	=	Extra**	x	Fee
TOTAL	41	minus*	20 or 0	=	21	x	18
INDEP	13	minus*	3 or 0	=	10	x	78
[ ] First presentation of multiple dependent claim							=

\* Subtract the greater number of Col. 2

**\*\* If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3**

**SUBTOTAL (2)** **(\$)** 1158

SUBMITTED BY

Typed or Printed Name

Neil F. Maloney/

**Signature**

**Complete (if applicable)**

Reg. Number

42.833

Date \_\_\_\_\_

September 29, 2000

<sup>†</sup> Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby  
Rev. 11/04/99

19414/04841/SF/5032389.1



PATENT

IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

APPLICANT: Pierre H. Chênes  
APPLICATION NO.: 09/580,932  
FILING DATE: May 25, 2000  
TITLE: RANDOM CODE FOR DEVICE IDENTIFICATION  
EXAMINER: Unknown  
GROUP ART UNIT: 2775  
ATTY. DKT. NO.: 19414-04841

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner For Patents, Washington, DC 20231, on the date shown below:

Dated: September 29, 2000

By: Neil F. Maloney

Neil F. Maloney, Reg. No. 42,833

BOX MISSING PARTS  
COMMISSIONER FOR PATENTS  
WASHINGTON, DC 20231

**RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF APPLICATION**

SIR:

Responsive to Notice to File Missing Parts dated August 8, 2000 received in the above-identified patent application, enclosed are:

☒ a copy of the Notice to File Missing Parts;

☒ an original, signed Declaration;

☐ a signed Verified Statement

☐ Applicant respectfully submits that since this application was entitled to Small Entity Status when filed, as established with the enclosed original, signed, Verified Statement, the fee for filing as a large entity as indicated in the Notice to File Missing Parts is inappropriate

and that the filing fee submitted with this application as originally  
filed is sufficient to cover the filing fees for this application;

☒ payment in the amount of \$1,288.00 for the additional total and independent  
claims, and the missing parts surcharge;

☐ Other:

Respectfully submitted,

PIERRE H. CHÊNES

Dated: September 29, 2000

By: 

Neil F. Maloney, Reg. No. 42,833  
Attorney for Applicant  
FENWICK & WEST LLP  
Two Palo Alto Square  
Palo Alto, CA 94306  
Tel.: (415) 875-2477  
Fax: (415) 281-1350

## FORMALITIES LETTER



\*OC00000005304388\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/580,932	05/25/2000	Pierre Chemes	19414-04841

Neil F Maloney  
Fenwick & West LLP  
2 Palo Alto Square  
Palo Alto, CA 94306

RECEIVED

AUG 14 2000

FENWICK &amp; WEST LLP

Date Mailed: 08/08/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- Total additional claim fee(s) for this application is \$1158.
  - \$378 for 21 total claims over 20.
  - \$780 for 10 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1288.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

10/12/2000 BHABTEW 00000028 192555 09580932

01 FC:105	130.00 CH
02 FC:103	378.00 CH
03 FC:102	800.00 CH